

0300

PATENT ✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Kuansan Wang

COPY OF PAPERS
ORIGINALLY FILED

Appln. No.: 09/960,232

Group Art Unit:2152

Filed : September 20, 2001

For : WEB ENABLED RECOGNITION
ARCHITECTURE

Docket No.: M61.12-0389

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

9 DAY OF January 2002

PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated October 24, 2001, Applicant encloses the following documents to complete the above-identified patent application filed September 20, 2001:

1. Our check in the amount of \$1,322.00 (to cover the filing fee \$1,082.00, surcharge fee of \$130.00 and extension of time fee of \$110.00).
2. Combined Declaration and Power of Attorney executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date Granted.

~~Enclosed are the following documents.~~



OCT 29 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,232	09/20/2001	Kuansan Wang	M61.12-0389

CONFIRMATION NO. 5870

FORMALITIES LETTER



OC00000006963422

WESTMAN, CHAMPLIN & KELLY
 A PROFESSIONAL ASSOCIATION
 INTERNATIONAL CENTRE, SUITE 1600
 900 SECOND AVENUE SOUTH
 MINNEAPOLIS, MN 55402-3319

COPY OF PAPERS
 ORIGINALLY FILED

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$342.
 - \$342 for 19 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1182.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

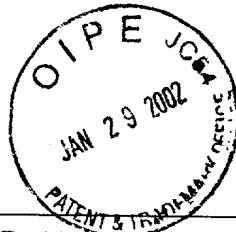
W. Kornmeier

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FEE TRANSMITTAL



Total Amount of Payment \$ 1322

Complete if Known	
Application No.	09/960,232
Filing Date	September 20, 2001
First Named Inventor	Kuansan Wang
Title	WEB ENABLED RECOGNITION ARCHITECTURE
Group Art Unit	2152
Examiner Name	<i>COPY OF PAPERS ORIGINALLY FILED</i>
Atty. Docket Number	M61.12-0389

METHOD OF PAYMENT (Check One)

1. The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		
Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	
101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee
109	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee
				Subtotal (1) \$ 740

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	39	20	19	18	342
Indep.	3	3	0	84	0

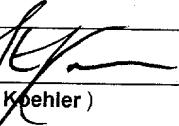
Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity		Small Entity		Description
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent Claims
109	84	209	42	Reissue Independent Claims over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
				Subtotal (2) \$ 342

3. ADDITIONAL FEES

Large Entity	Small Entity			
Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	
105	130	205	65	Surcharge - Late filing fee or oath
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	280	980	Extension for reply within fifth month
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
148	110	248	55	Terminal Disclaimer Fee
140	110	240	55	Petition to Revive - unavoidable
141	1,310	241	670	Petition to Revive - unintentional
142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)
143	490	243	260	Design issue fee (inc. advance copies)
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Statement
581	40	581	40	Recording each patent assignment per property (times number of properties)
Other Fee (specify) _____				
				Subtotal (3) \$240

Signature 
(Steven M. Koehler)

Date 1/9/02

Reg. No. 36,188

Deposit Account No. 23-1123